

## PART B - FEE(S) TRANSMITTAL

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62274 7590 01/17/2007

DARDI & ASSOCIATES, PLLC  
 220 S. 6TH ST.  
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 MINNEAPOLIS, MN 55402

**Certificate of Mailing or Transmission**  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Curtis B. Herbert, Ph.D., Esq. (Depositor's name)  
 (Signature)  
 April 16, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/756,181	01/13/2004	Amarpreet S. Sawhney	3516.09US04	2081

TITLE OF INVENTION: METHODS AND APPARATUS FOR INTRALUMINAL DEPOSITION OF HYDROGELS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	PREV. PAID PUBLICATION FEE	DATE
nonprovisional	NO	\$1400	\$300	01s6C:1501 02 FC:1504	1450.00 DA 300.00 DA	04/17/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS				
TRUONG, KEVIN THAO	3734	606-193000				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  
 1 Dardi & Associates,  
 2 PLLC  
 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Incept LLC

Lexington, Massachusetts

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

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- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-3863 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date April 16, 2007

Typed or printed name Curtis B. Herbert, Ph.D., Esq.

Registration No. 45,443

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**DARDI & ASSOCIATES, PLLC**

ATTORNEYS AT LAW

Intellectual Property—patents,  
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## FACSIMILE COVER SHEET

TOTAL NUMBER OF PAGES BEING SENT: 5

☐ Original documents to follow by mail ☒ No originals will be sent

DATE: April 16, 2007

TO: Mail Stop ISSUE FEE  
Group Art Unit

PHONE #:

FAX #: 571-273-2885

One Security Centre  
Suite 400  
3490 Piedmont Road  
Atlanta, GA 30305  
404.949.5730 phone  
612.746.3006 faxApplication No.: 10/756,181  
Applicant: Sawhney et al.  
Due Date: April 17, 2007

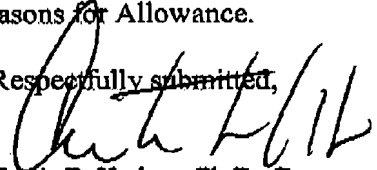
OUR REF.: 3516.09US04

FROM: Curtis B. Herbert, Ph.D., Esq.  
PHONE #: 612-605-1038

Attached is the following for filing in the above-identified application.

- (1) Part B-Fee(s) Transmittal (in duplicate); and
- (2) Comments on Statement of Reasons for Allowance.

Respectfully submitted,

  
Curtis B. Herbert, Ph.D., Esq.  
Registration No. 45,443

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 571-273-2885 on the date shown below.

April 16, 2007  
Date  
Curtis B. Herbert, Ph.D., Esq.

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## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3516.09US04

Sawhney et al.

Confirmation No.: 2081

Application No.: 10/756,181

Examiner: Truong, K.

Filed: January 13, 2004

Group Art Unit: 3734

For: METHODS AND APPARATUS FOR INTRALUMINAL DEPOSITION OF  
HYDROGELSCOMMENTS ON STATEMENT OF  
REASONS FOR ALLOWANCEMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The patentability of the claims is broadly based on a variety of features. Accordingly, there is no admission that patentability is based solely on the features indicated in the Examiner's Reasons for Allowance.

Respectfully submitted,

Curtis B. Herbert, Ph.D., Esq.  
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220 South 6<sup>th</sup> Street  
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Application No. 10/756,181

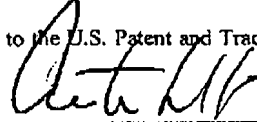
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April 16, 2007

Date

Curtis B. Herbert, Ph.D., Esq.